

## UNITED STATES RECEIVING OFFICE (RO/US)

Re	International Appln. No. PCT/RU/2003/000440
International Filing Date	16 October 2003 (16/10/2003)
Applicant	BAKUNIN, Viktor Nikolaevich et al.
U.S. Serial No.	10/532,416
U.S. Filing Date	April 22, 2005
Title of Invention	A METHOD OF PREPARING LUBRICANT ADDITIVES (VARIANTS)
Agent's File	I99.12-0001

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

EV464742562US

September 6, 2005

"Express Mail" Mailing Number

Date of Deposit

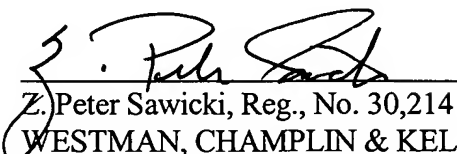
I hereby certify that the following are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

1. Credit Card Payment Form (PTO-2038) to cover the \$130.00 Surcharge Fee-furnishing Oath/Declaration later than 30 months from earliest claimed priority date
2. Fee Calculation Sheet
3. Executed Combined Declaration and Power
4. Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US)

09/13/2005 GFREY1 00000107 10532416

01 FC:1617

130.00 OP

  
Z. Peter Sawicki, Reg., No. 30,214  
WESTMAN, CHAMPLIN & KELLY, P.A.  
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900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
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ZPS:cnm  
Encs.

# FEE TRANSMITTAL

## For FY 2005

*Complete if Known*

Application Number	10/532,416
Filing Date	April 22, 2005
First Named Inventor	Viktor Nikolaevich Bakunin et al.
Examiner Name	

☐ Applicant claims small entity status. See 37 CFR 1.27

Art Unit	
Attorney Docket Number	199.12-0001

**TOTAL AMOUNT OF PAYMENT** (\$ ) 130

### METHOD OF PAYMENT (Check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (Please Identify): \_\_\_\_\_

☒ Deposit Account - Deposit Account Number: 23-1123 Deposit Account Name: Westman, Champlin and Kelly

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments under 37 CFR 1.16 and 1.17

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity		Small Entity		Small Entity		
	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	
Utility	300	150	500	250	200	100	0
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
20	- 20 or HP = 0	x 50 = 0
HP = highest number of total claims paid for, if greater than 20		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
3	- 3 or HP = 0	x 200 = 0
HP = highest number of independent claims paid for, if greater than 3		
		<b>Fee Paid (\$)</b>
		Multiple Dependent Claims
		Fee (\$)
		360
		Fee Paid (\$)
		0

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
0	- 100 = 0	/ 50 = 0 (round up to a whole number) x	250	= 0

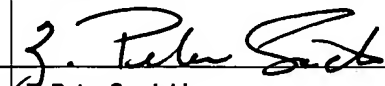
#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Surcharge for late oath or declaration - 1051

130

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	30,214	Telephone: 612-334-3222
Name (Print/Type)	Peter Sawicki			Date: Sep 6, 2005

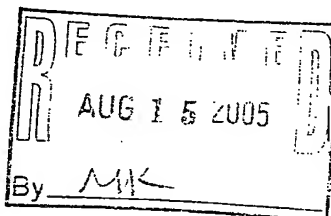


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/532,416	FIRST NAMED APPLICANT Viktor Nikolaevich Bakunin	ATTY. DOCKET NO. I99.12-0001
INTERNATIONAL APPLICATION NO. PCT/RU03/00440		
IA. FILING DATE 10/16/2003	PRIORITY DATE 10/23/2002	

WESTMAN CHAMPLIN & KELLY, P.A.  
 SUITE 1600 - INTERNATIONAL CENTRE  
 900 SECOND AVENUE SOUTH  
 MINNEAPOLIS, MN 55402-3319



CONFIRMATION NO. 3712  
 371 FORMALITIES LETTER



OC000000016747889\*

Date Mailed: 08/11/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/22/2005
- English Translation of the IA filed on 04/22/2005
- Copy of the International Search Report filed on 04/22/2005
- Copy of IPE Report filed on 04/22/2005
- Preliminary Amendments filed on 04/22/2005
- Information Disclosure Statements filed on 07/25/2005 ✓
- Oath or Declaration filed on 04/22/2005
- Request for Immediate Examination filed on 04/22/2005
- U.S. Basic National Fees filed on 04/22/2005
- Substitute Specification filed on 04/22/2005
- Priority Documents filed on 04/22/2005 ✓

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,416	PCT/RU03/00440	I99.12-0001